

## Systems Evaluation of Subsonic Hybrid-Electric Propulsion Concepts for NASA N+3 Goals and Conceptual Aircraft Sizing

S. S. Jagtap

The Daniel Guggenheim School of Aerospace Engineering,  
Georgia Institute of Technology, Atlanta, Georgia, 30332.

\*Email: [swapniljagtap111@gmail.com](mailto:swapniljagtap111@gmail.com)

### ABSTRACT

The air-travel demand is anticipated to grow in future and therefore the worldwide air-traffic is forecast to increase significantly. This growth in demand further increases the concerns pertaining to environmental and human health, which results in stringent aviation policies. Emission regulations have been set for the aviation sector to reduce its climate change impacts, and these support the efforts to meet the goals of the UN's Paris treaty on climate change. The aviation sector is exploring sustainable and improved technologies to become more energy and cost efficient. Along these lines, NASA has developed the concept of 'N+i' goals to decrease fuel consumption, noise, and landing and take-off (LTO) oxides of nitrogen (NO<sub>x</sub>) emissions, and to enhance aircraft performance. The 'N+3' represents three technology generations into the future, where 'N' represents the current aircraft generation, with a forecasted technology readiness level 4-6, in year 2025 timeframe which will enable year 2035 service-entry. To meet NASA's N+3 goals, significant improvements must be made in the air transportation system, air-frame, mission design, and propulsion systems. A pivotal element to achieve these goals, is the propulsion system. This is because the role of propulsion system can be crucial in reducing emissions, noise, and fuel burn. This work evaluates the N+3 concepts in detail, based on the systems engineering approaches and selects the best of those concepts. A detailed analysis is presented for phase one of such a project using Georgia Institute of Technology's Integrated Product-Process Development (IPPD) method. This work finds that the NASA N3-X turbo-electric distributed propulsion (TeDP) is the best concept for meeting the NASA N+3 goals, based on the systems engineering approach.

**Keywords:** Systems engineering and theory; system of systems engineering; engineering management; TQM; aerospace components; aerospace engineering; aerospace systems engineering; complex systems engineering.

### NOMENCLATURE

NASA	national aeronautics and space administration
LTO	landing and take off
IPCC	intergovernmental panel on climate change
IATA	international air transport association
FAA	federal aviation administration
CAEP	committee on aviation environmental protection
ATC	air traffic control
ASTM	American society for testing and materials
SPK	synthetic paraffin kerosene

FT	Fischer-Tropsch
HRJ	hydro-processed renewable jet fuel
HEFA	hydro-processed esters and fatty acids
HFS-SIP	hydro-processed fermented sugars to synthetic iso-paraffins
ATJ	alcohol to jet fuel
CO <sub>2</sub>	carbon dioxide
CO	carbon monoxide
NO <sub>x</sub>	nitrogen oxides
SO <sub>x</sub>	sulfur oxides
TeDP	turbo-electric distributed propulsion
SELECT	silent efficient low-emissions commercial transport
SUGAR	Subsonic Ultra Green Aircraft Research
IPPD	integrated product process development
QFD	quality function deployment
OEC	overall evaluation criterion
TOPSIS	technique for order preference by similarity to ideal solution

## INTRODUCTION

In future, both passenger and freight air-travel demand is anticipated to grow and the worldwide air-traffic is forecasted to increase significantly until at least 2036 [1]. Boeing forecasts yearly average global growth rate of 4.2% for freight air-traffic and 4.7% for passenger air-traffic, during the year 2017-2036 [2]. The aviation sector provided services to about 62 million tonnes of freight and 4 billion passengers, in the year 2016. While providing these services, it contributed to 3.6% of the global gross domestic product [3]. The directly associated aviation sector's share of the anthropogenic carbon dioxide (CO<sub>2</sub>) emissions worldwide is 2% [3],[4]. The aviation sector reached 895 million tonnes of CO<sub>2</sub> and consumed 94 billion gallons of fuel globally, in the year 2018 [5]. The aircraft exhaust comprises of CO<sub>2</sub>, carbon monoxide (CO), nitrogen oxides (NO<sub>x</sub>), water vapour, sulfur oxides (SO<sub>x</sub>), unburned hydrocarbons, traces of nitrogen compounds and hydroxyl family, a small number of soot particles, and normal atmospheric oxygen and nitrogen [6]. Considering non-CO<sub>2</sub> emissions and their effects, the intergovernmental panel on climate change (IPCC) forecasts that aviation contributes to about 3% of the total anthropogenic climate change impact. The IPCC estimates that aviation's total share is predicted to increase to 5% by the year 2050 (accounting a worst-case scenario of 15% of human emissions) [6].

The above-mentioned growth in air-travel demand further increases the concerns pertaining to environmental and human health [7]. These result in stringent aviation policies. In future, there are emission regulations set for the aviation sector for reducing its climate change impact, and these support the efforts to meet the goals of the UN's Paris treaty on climate change. Additionally, while doing so it has to ensure the necessary quantity of fuel supplies are met [7]. Therefore, the aviation sector is exploring sustainable pathways to become more energy and cost-efficient [7]. These sustainable efforts are explored in detail in the next section. The International air transport association (IATA) has established three targets and a four-pillar strategy to meet these goals [8], which are in-line with the goals UN's Paris treaty on climate change. The three goals are:

- i. An average improvement in fuel efficiency of 1.5% per year from year 2009 to 2020 [8];

- ii. A reduction in net aviation CO<sub>2</sub> emissions of 50% by year 2050, relative to year 2005 levels [8]; and
  - iii. A cap on net aviation CO<sub>2</sub> emissions from year 2020 (carbon-neutral growth) [8];
- The four-pillar strategy includes:

- i. Improved technology, including the deployment of sustainable low-carbon fuels [8];
- ii. Infrastructure improvements, including modernized air traffic management systems [8];
- iii. More efficient aircraft operations [8]; and
- iv. A single global market-based measure, to fill the remaining emissions gap [8].

## **FUTURE AVIATION TECHNOLOGIES**

In order to fulfil performance, noise and emissions goals, NASA introduced N+3 goals to motivate new aircraft technologies and concepts, targeted to enter the market in the 2030-2035 timeframe [9],[10]. ‘N+i’ nomenclature is used to define aircraft generations sequentially, where N represents the present generation and ‘i’ indicates a particular generation after N [9],[10]. The N+i aircraft technology will largely be possible because of improvements in airframe structure, aerodynamics, and propulsion-energy. These include the use of blended/hybrid wing body aircraft, an unconventional airframe architecture, with better performance in terms of the aircraft structure, weight reduction and aerodynamics, compared to present-day aircraft [11]. In terms of propulsion, there are advanced technologies like ultra-high bypass ratio turbofan engines, hybrid-electric and full-electric concepts [12]. The future aircraft engines will have cleaner/low-emissions and improved combustors [13], [14]. Such combustors will control combustion instabilities, a phenomenon observed in present-day aircraft combustors [13], [14]. These next generation of improved combustors further increase the safety aspect of an aircraft [13], [14].

Alternative fuels viz. bio-jet fuels are planned to be used in aircraft [15]. Bio-jet fuels from certain feedstocks and pathways provide a significant reduction in life-cycle greenhouse gas (GHG) emissions, compared to the conventional jet fuel [7], [16], [17], [18]. Presently, the American society for testing and materials (ASTM) has approved certain bio-jet fuel pathways which can be used in aircraft as ‘drop-in’ fuels. These are Fischer-Tropsch (FT) SPK (FT-SPK) with maximum 50% blend [17]; Hydro-processed lipids/hydro-processed renewable jet fuel or Hydro-processed esters & fatty acids (HRJ/HEFA-SPK) with maximum 50% blend [7]; Biochem sugars or hydro-processed fermented sugars to synthetic iso-paraffins (HFS-SIP) with maximum 10% blend [16]; Syngas FT with aromatic alkylation (FT-SPK/A) with maximum 50% blend; and alcohol to jet (ATJ-SPK) with maximum 30% blend [18]; where the blending is done with the conventional jet fuel [19].

To improve the efficiency of aircraft, heat recovery in thrust-powered aircraft [20], [21]; and shaft-powered aircraft [22], [23], [24], is being pursued for a long time, especially in the past two decades, using organic Rankine cycle. With such recovery systems, there is always a balancing act between efficiency improvement and weight addition [22], [23], [24].

The N+3 generation establishes rigorous environmental and performance goals which encourage many groundbreaking concepts compared to the former ones. The criteria of N+3 generation includes a 71dB cumulative reduction in noise of aircraft under noise regulation of FAA (federal aviation administration) Stage 4, reducing the landing

and take-off (LTO) NO<sub>x</sub> emissions by 75% in reference to CAEP (committee on aviation environmental protection) 6, and reducing the fuel burn of the mission by 70% in reference to present-day technology [9],[10]. The air transportation has environmental impacts since aircraft engines consume fuel and release noise, greenhouse gases, particulates and heat which results in climate change and cause damage to human health, natural resources and ecosystem quality.

The studies [25]–[27] explore the pure form of hybrid-electric propulsion, and the study [28] investigates the full-electric aircraft. The study by Voskuijl et al. [27] considers a regional jet (70 passenger turbo-prop) with a range of 1528 km using 1000Wh/kg batteries, where 34% electric shaft power requires 28% less mission fuel at the expense of a larger aircraft in terms of weight and wing area. The study by Voskuijl et al. provides maximum fuel-saving benefits of all the mentioned studies on hybrid-electric aircraft. However, they do not consider the impacts of turbo-prop noise. The study by Schäfer et al. [28] on all-electric aircraft with battery packs of 800 Wh/kg, enables a range up to 600 nautical miles (1,111 km) for 150 passengers, mitigate airport area NO<sub>x</sub> emissions by 40%, and reduce fuel use and direct CO<sub>2</sub> emissions by 15%. Of the studies so far, hybrid-electric propulsion in the pure form has benefits in fuel savings, but it cannot meet the NASA N+3 goals. Therefore, a combination of many advanced technologies should be used to meet these goals, which includes the benefits of blended/hybrid wing body aircraft (as discussed previously). This technology combination is discussed in further sections of this work, especially for the NASA N3-X Turboelectric Distributed Propulsion (TeDP) concept.

There is an increased affinity of the industry towards systems engineering for solving complex systems problems because of the significant benefits it offers. This include better product or process in the hands of the customer; reduced design lead time; reduced design changes; reduced errors in production or delivery; improved reliability; reduced introduction costs; reduced warranty claims; reduced through-life costs; better traceability of decision making; more ability to manage and afford change; management of risk; and improved organisational learning [29]. There are several studies which address the N+3 hybrid-electric propulsion concepts [30]–[37], and some of these studies address and focus on individual concepts and their performance. These include studies by Chambers et al. [32] on Massachusetts Institute of Technology's (MIT) double-bubble concept, Armstrong et al. [33] on NASA N3-X Turboelectric distributed propulsion (TeDP), Bradley et al. [34] on Boeing subsonic ultra-green aircraft research (SUGAR) Volt; and Bruner et al. [35] on Northrop Grumman silent efficient low-emissions commercial transport (SELECT). However, studies [30]–[37] neither conduct a detailed comparative assessment between different hybrid-electric propulsion concepts nor conduct any system engineering analysis of these concepts. The study by Ashcraft et al. [9] reviews all the hybrid-electric propulsion concepts (and readers are advised to explore it for knowing the details of these concepts) and it is the closest of all studies to the scope of this work. The study by Ashcraft et al. only use quality function deployment (QFD) for a systems-level assessment, and the QFD is limited to only propulsion technologies. The study by Ashcraft et al. motivates a systems-level analysis at the propulsion and airframe level. A detailed analysis is demanded which implements the systems engineering approach considering the limitations and shortcomings of the previous studies, and this work addresses the same. The demand for detailed systems-level analysis is the motivation for this work.

## METHODOLOGY

The objective of this work is to perform a detailed systems-engineering study on existing N+3 concepts, assessing the usefulness of the advanced technologies and concepts with the present-day aircraft as the baseline, after which, the best of those concepts is selected. The scope of this work is restricted to phase 1. The first phase consists of integrated product-process development (IPPD). The QFD is implemented which results to give a collection of feasible technologies within the scope of this work. The goal of this work is to conceive a large commercial subsonic aircraft to meet the requirements comprising of: cruise Mach 0.72 – 0.8; 300 seat class; service-entry by 2035; hybrid-electric propulsion system; N+3 goals as described above; comply to FAA regulations. The discussion and results of this work will be helpful for designers and decision-makers for technology design-development and policymaking. The above is the significance of this work.

In phase 1, the IPPD methodology developed by the Georgia Institute of Technology [38] is used to select the best alternative to meet the defined goals for aircraft using hybrid-electric propulsion. The next section i.e. results and discussion address and discuss the aspects and steps involved in the IPPD methodology with results, in the context of this work. The steps in the IPPD methodology consists of:

- i. Establish a need:  
This step comprises defining the customer's needs, perform requirements analysis, and defining the operational and functional architectures. The operational and functional architecture include the mission objectives, and systems operational and functional background;
- ii. Define the problem:  
This step includes QFD analysis.
- iii. Establish a value:  
Evaluation is done using feasibility criteria and constraints, and overall evaluation criterion (OEC);
- iv. Generate alternatives:  
This step comprises generating feasible alternatives and its evaluation, using the morphological matrix; and
- v. Decision making:  
Based on the above four steps, a decision is taken using the 'technique for order preference by similarity to ideal solution' (TOPSIS) and Pugh matrix.

## RESULTS AND DISCUSSION

### Establish a Need

#### *Operational architecture*

The operational architecture comprises of the mission and the interactions between various systems for the successful completion of one duty cycle of an aircraft [39]. Figure 1 gives the operational architecture of this duty cycle. The air traffic control (ATC) instructs the taxi to unload (from previous duty cycle). The ATC is not in active communication with the aircraft during the unloading process as well as the loading process for the next duty cycle. With the beginning of the new cycle, the ATC instructs the pilot of the aircraft to taxi, takeoff, climb and cruise by giving necessary clearances at required times in one duty cycle. When the duty cycle is to end, the ATC instructs the

pilot to descend and land. If there is a space management issue on the runway, the ATC instructs for necessary loiter, and then make necessary arrangements for landing. After the aircraft lands the same operations are performed as described above.

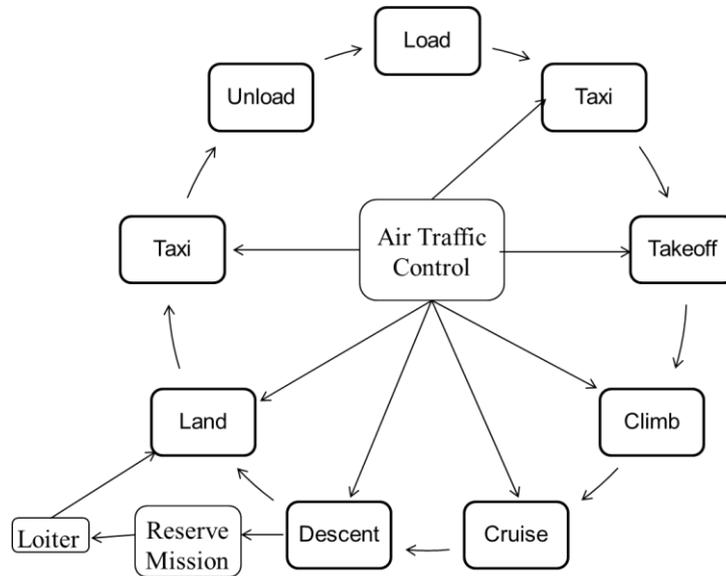


Figure 1. Operational architecture of duty-cycle.

*Functional architecture*

It recognises and organises the assigned performance and operational requirements [39]. As indicated in Figure 2, the functional requirements of an aircraft are divided into three main components like airframe, propulsion and flight controls. These main components are further broken down to subcomponents.

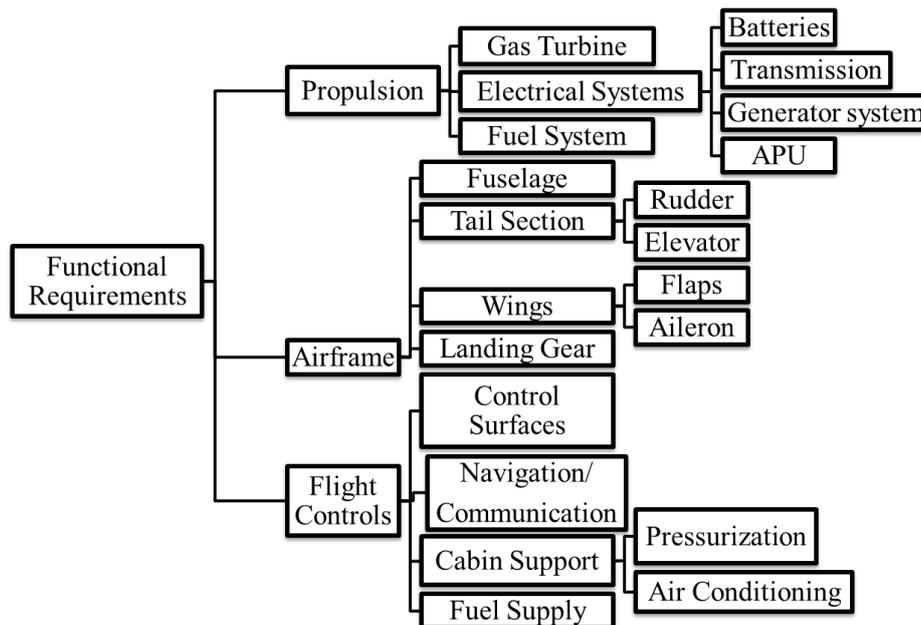


Figure 2. Functional architecture of an aircraft.

*Information flow*

Figure 3 demonstrates the information flow (among sub-systems) in case of an aircraft for successful completion of the mission. The flight control system feeds information to the fuel system based on the operating conditions like take off, cruise or landing. Necessary fuel is thus supplied to the gas turbine functioning. The gas turbine along with generating thrust for propulsion, powers the generator after which the power is supplied via a transmission system to the electric motor. Based on the operating conditions the battery performs accordingly either storing or shelling out energy. The electric motor powers the turbine systems which generate required thrust for propulsion. The transmission system also powers the flight control systems through the electrical systems. The flight control system feeds information to the transmission system based on the operating conditions where it regulates the power it receives from the generator. This way all subsystems interact and perform the work in a duty cycle.

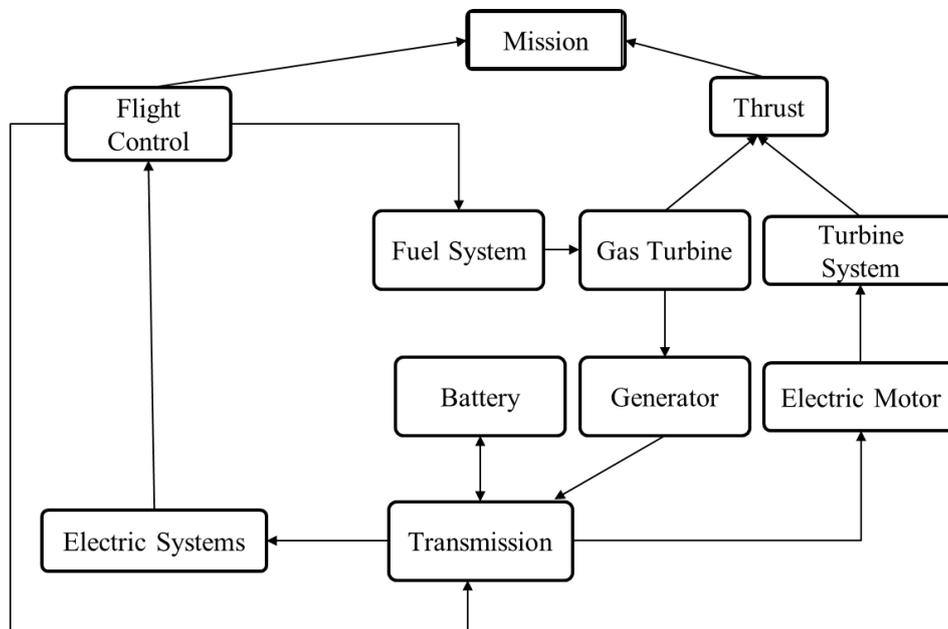


Figure 3. Information flow diagram and sub-systems interaction during a mission.

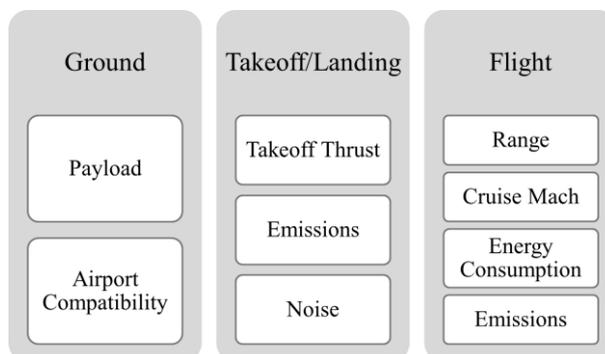


Figure 4. Performance requirement over a flight mission.

*Performance requirements*

For a commercial aircraft, the main customer requirement for N+3 technology goals and the associated other requirements are found. The requirements are chosen in such a way that the aircraft suffices the payload considerations and from architecture point of view it also needs to be compatible with the existing airports. Figure 4 illustrates the performance requirements over a flight mission. The aircraft needs to generate required amount of thrust at takeoff and throughout the entire mission profile, and it should be constrained by N+3 goals. Range and cruise Mach both should be enough to compete with the baseline or existing market product.

*Affinity diagram*

For organising ideas and information systematically, a tool called ‘affinity diagram’ is used [40], [41]. The affinity diagram is shown in Figure 5, where characteristics/requirements (‘Whats’) of an aircraft are classified in four main categories.

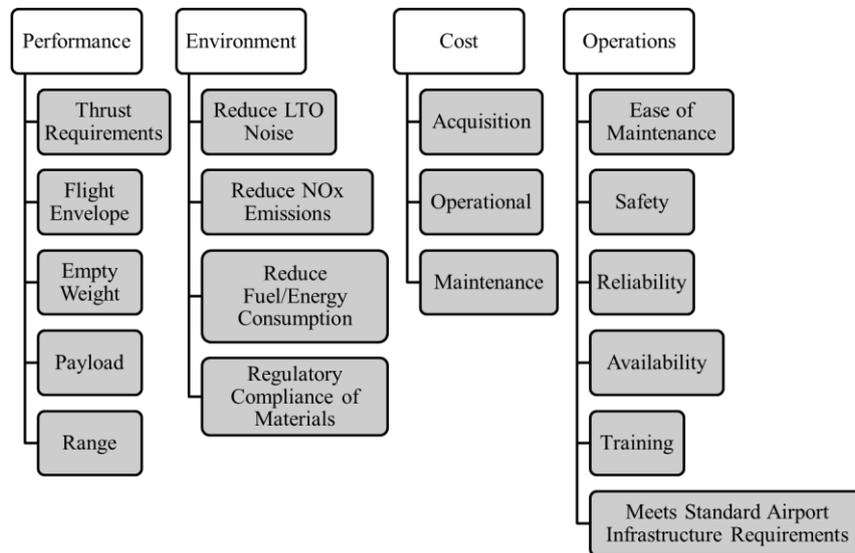


Figure 5. Affinity diagram showing the four characteristics of an aircraft.

*Tree diagram*

Typically, a tree diagram is a classification of ‘Whats’ (requirements) and ‘Hows’ (method to perform the requirements) [41], [42]. The ‘Whats’ are the customer requirements and the other associated requirements. The ‘Whats’ are taken from the affinity diagram in Figure 5. The ‘Hows’ are the medium by which the challenges in ‘Whats’ can be addressed. The tree diagram specific to this work is shown in Figure 6, which includes the requirements and the methods/means by which these requirements can be fulfilled.

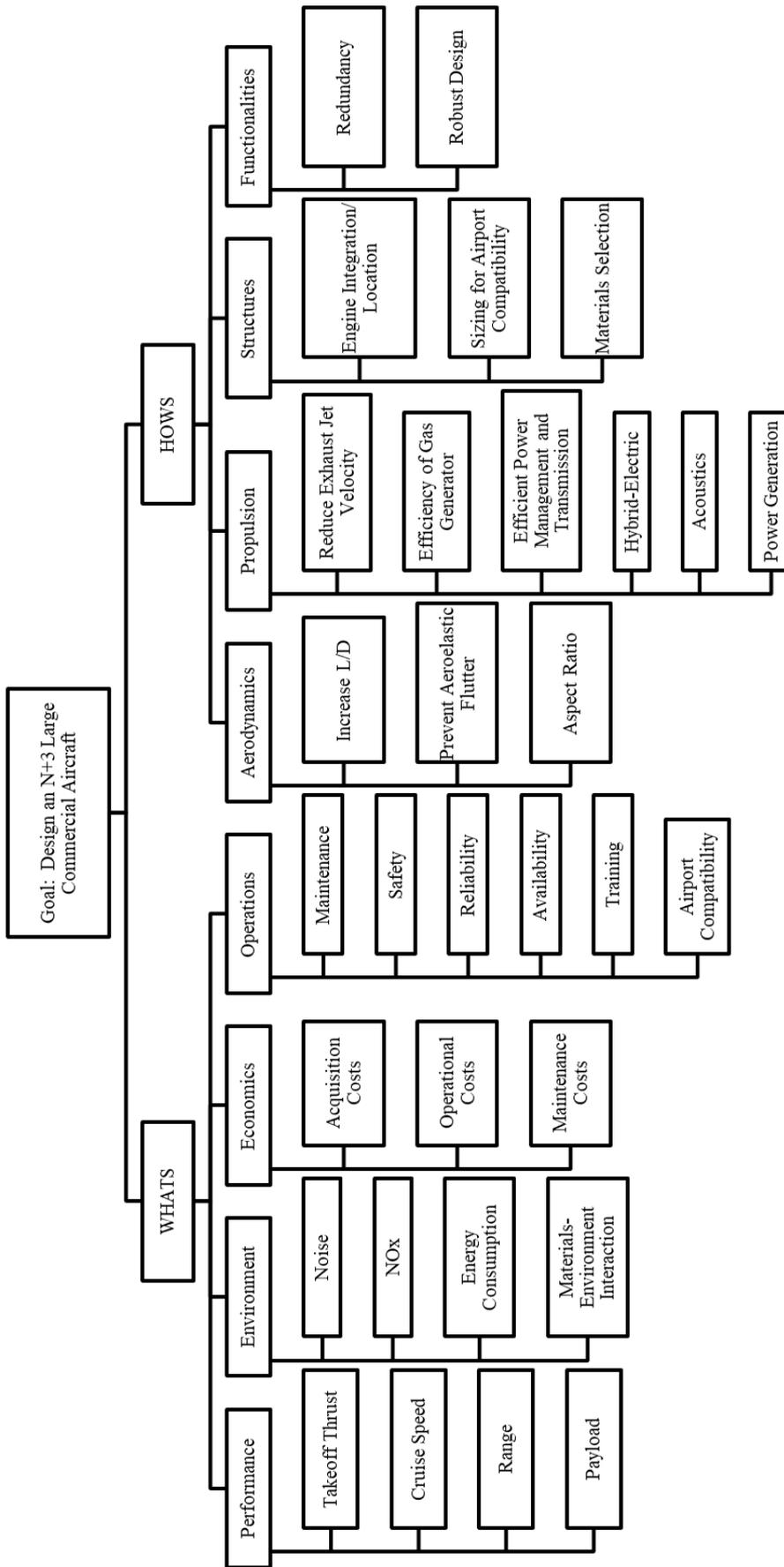


Figure 6. Tree diagram representing the requirements and methods.

*Interrelationship digraph*

It is a tool, which is implemented to find cause and effect relationships among the study parameters [41]–[43], as shown in Figure 7. Arrows leaving an element indicate that element is a driver, while arrows into an element indicate an outcome. Based on the results presented in Figure 7, the most important parameters are found to be propulsion architecture, airframe architecture, energy consumption, operational costs, and payload. In consistency with the primary objectives of this work, propulsion architecture is identified as the main driver and operational costs identified as the main outcome.

*Prioritisation matrix*

A prioritisation matrix is used to allocate weights to various system requirements [41]–[44]. The relative importance of issues is then ranked by analyzing each issue with respect to others. The prioritisation matrix provided in Table 1 shows that the most important issues to the customer are safety, energy consumption, and payload. Safety is of utmost importance to airline companies for a number of legal and social reasons. Thus, purchasing an aircraft that is unsafe and does not meet regulations, is not an option. Energy consumption is also a high priority to the customer because fuel costs contribute directly to overall operational costs, which is identified as a main outcome from the interrelationship digraph. Finally, commercial airlines are concerned with maximum payload when purchasing new aircraft as it relates to profit margin. Ultimately, commercial airline companies are seeking to maximize revenues, minimise costs, and avoid any issues that could lead to a loss in business or legal disputes.

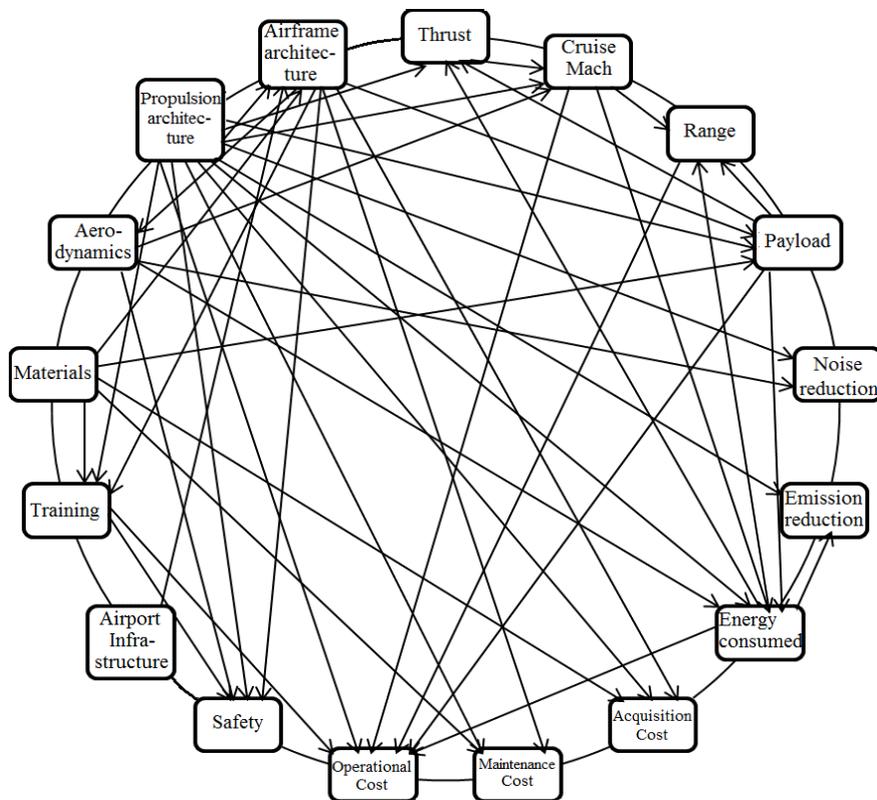


Figure 7. Interrelationship digraph showing the cause-effect relation between study parameters.

Table 1. Prioritisation Matrix with weight allocation to various system requirements.

Criteria	Thrust	Cruise Mach	Range	Payload	Noise	Emissions	Energy Consumption	AC	MC	OC	Maintenance	Training	Airport Compatibility	Safety	Reliability	MEI	Total	%
Thrust		1	0.2	0.2	0.2	1	0.2	5	5	5	5	5	1	0.2	0.2	5	34.2	6
Cruise Mach	1		0.2	0.2	0.2	0.2	0.1	5	5	0.2	5	5	1	0.2	1	1	25.3	5
Range	5	5		1	1	0.2	1	5	5	1	5	5	1	0.2	1	1	37.4	7
Payload	5	5	1		1	0.2	0.2	10	10	1	5	10	1	0.2	1	5	55.6	10
Noise	5	5	1	1		1	0.2	5	5	1	5	5	5	0.2	1	5	45.4	8
Emissions	1	5	5	5	1		1	5	5	1	5	5	5	0.2	1	5	50.2	9
Energy consumption	5	10	1	5	5	1		10	10	1	10	10	5	0.2	1	10	84.2	15
Acquisition costs (AC)	0.2	0.2	0.2	0.1	0.2	0.2	0.1		1	0.2	1	10	1	0.2	0.2	1	15.8	3
Maintenance costs (MC)	0.2	0.2	0.2	0.1	0.2	0.2	0.1	1		1	1	10	1	0.2	1	1	17.4	3
Operational costs (OC)	0.2	5	1	1	1	1	1	5	1		1	1	5	0.2	1	1	25.4	5
maintenance	0.2	0.2	0.2	0.2	0.2	0.2	0.1	1	1	1		1	1	0.1	0.2	1	7.6	1
Training	0.2	0.2	0.2	0.1	0.2	0.2	0.1	0.1	0.1	1	1		0.2	0.1	0.2	0.2	4.1	1
Airport compatibility	1	1	1	1	0.2	0.2	0.2	1	1	0.2	1	5		0.2	1	1	15	3
Safety	5	5	5	5	5	5	5	5	5	5	10	10	5		5	10	90	16
Reliability	5	1	1	1	1	1	1	5	1	1	5	5	1	0.2		5	34.2	6
Materials-environment interaction (MEI)	0.2	1	1	0.2	0.2	0.2	0.1	1	1	1	1	5	1	0.1	0.2		13.2	2
Column total	34.2	44.8	18.2	21.1	16.6	11.8	10.4	64.1	56.1	20.6	61	92	34.2	2.7	15	52.2	555	100

**Define the Problem**

The QFD diagram facilitates the translation of customer needs into engineering properties and to comprehend the effect of product design on downstream processes [39]-[45]. The QFD method takes the requirements of the customer and maps them onto product and process properties. Relationships between the various attributes are then found through a series of complementary matrices. Several of the management and planning tools presented earlier are fed into the QFD matrix; their contributions will be discussed in the following sections.

*House of quality*

It can be broken down into a number of ‘rooms’ which outline customer requirements, engineering characteristics, technical competitive assessment, customer competitive assessment, correlation matrix, relationship matrix, and target values [46],[47].

Customer Requirements: In the house of quality, the customer requirements are frequently called as the ‘Whats’ [47], [48]. This is essentially a list of what is desired to

be accomplished by a project. The list of requirements is broken down into the same four categories as those identified in the affinity diagram: environmental, performance, economics, and operations. The importance rating for these requirements are given in the Prioritisation matrix in Table 1; therefore, they are not included in the QFD. However, upon review of Table 1 it is found that the environmental requirements are weighted the highest since the primary goals of this work fall under this category. Several of the performance requirements are also ranked relatively high including thrust, range, and payload. Each of these requirements is a part of propulsion architecture, which as identified in the interrelationship digraph, is the main driver in the current study.

**Engineering Characteristics:** Room 2 of the house of quality consists of the engineering characteristics, also known as the 'hows' [46], [49]. Here, certain engineering parameters are found, which are critical to developing an aircraft design capable of meeting N+3 goals. The engineering characteristics are derived from the tree diagram in Figure 6.

**Relationship matrix and correlation matrix:** Rooms 3 and 4 of the QFD describe the association between the various requirements [47]. Figure 8 shows the relationship and correlation matrix, demonstrating the relationship between the various requirements. It captures both the relationship between the 'whats' and the 'hows' (Room 3) and the correlation between the engineering characteristics (Room 4). Room 3 shows the relationship matrix, whose primary goal is to identify the most important associations between the engineering characteristics and the customer requirements [47]-[50]. This is done by indicating a strong, moderate, or weak relationship with the appropriate symbol. If no relationship between the two requirements exists, the cell is left blank. The engineering characteristics which have a large number of strong relationships are material selection, Specific Fuel Consumption (SFC), and overall propulsion efficiency. As composites become more common in commercial aircraft and their applications rapidly grow, it is expected that material selection will have a greater significance than ever before, as its effects are seen in nearly all phases of aircraft design including performance, economics, and operations. SFC and overall propulsion efficiency are parameters which will provide a measure of how well the system achieves its goal of reducing fuel and energy consumption. Room 4, the roof of the House of Quality, shows the correlation matrix, which identifies the trade-offs that need to be made between the various engineering characteristics [46], [47]. The correlation can be positive (+), negative (-), or the cell left blank if there is no correlation. The desired direction of improvement for each characteristic is useful in identifying tradeoffs if the two characteristics are related. Several negative correlations are identified with aeroelastic flutter, which is a dynamic instability in aircraft wings that should be quickly mitigated without damage to the aircraft structure. In this situation, the correlation matrix provides an opportunity to focus on an innovative design to reduce aeroelastic flutter without compromising other important engineering characteristics such as aspect ratio.

**Customer competitive assessment:** A competitive assessment is performed to evaluate how well existing N+3 concepts meet customer requirements [51]. The four best concepts identified are the Boeing SUGAR Volt [52], NASA N3-X Turboelectric Distributed Propulsion (TeDP), MIT Double Bubble, and Northrop Grumman SELECT [9], [30], [35], [52], [53]. The pictorial representation of these concepts can be found in resource [9]. Each of the competitor's concepts is evaluated against the customer requirements on a scale from 1 to 5, with 1 being the lowest and 5 the highest, a concept could score. Based on this scale, the concepts are also ranked against one another. For example, preliminary fuel burn estimates for the NASA N3-X TeDP concept indicates

that it has the highest reduction in fuel burn of the four concepts; therefore, it receives a score of 5 for energy consumption while all other concepts score 4 or below. Figure 9 shows the customer competitive assessment, demonstrating the performance of the four concepts toward customer requirements.

Technical competitive assessment and target values: The lower portion of the house of quality consists of target values and the technical competitive assessment [51], which are provided below in Figure 10. The target values for the engineering characteristics are first determined and compared to the baseline aircraft, the Boeing 777-300ER. A technical competitive assessment is performed with the same concepts previously identified, but now each concept is evaluated against the engineering characteristics. The same scale is used as before to determine how well each concept meets the functional requirements and how they stack up against one another. Given that none of the concepts studied has yet to undergo significant testing and simulation, the technical evaluation is based primarily on the technologies each concept implements and the corresponding benefits those technologies provide. Figure 8 to Figure 10 are snapshots from the analysis tool/interface used for this work.

### *QFD summary*

Stepping through the rooms of the QFD helps in developing a much deeper understanding of requirements and the challenges that exist in meeting those requirements. NASA has set very aggressive goals with N+3, but the technologies necessary to achieve those goals have been identified. The customer requirements, established by NASA and future commercial airlines, are populated in room 1 of the House of Quality. The engineering characteristics required to answer the ‘whats’ are generated in room 2 and then the relationships between the ‘hows’ and the ‘whats’ is established in room 3. Identifying the requirements in rooms 1 and 2, laid out the objectives and methods for the goals of this work. Rooms 3 and 4 helps in identifying the most important parameters and the trade-offs associated with negatively correlated engineering characteristics. The lower portion of the QFD helps in defining specific target values that move the concept towards achieving the overall goals of this work. Finally, competitive assessments are performed to analyze how well the current N+3 concepts meet the customer and functional requirements. This helps in identifying key technologies that would allow the plan to apply the best ideas from existing concepts to achieve the optimal solution.

### **Establish Value**

#### *Feasibility Criteria and Constraints*

Several advanced technologies are identified to successfully meet NASA N+3 goals in the required timeframe as per the study by Ashcraft et al. [9]. Some of these key technologies include acoustic liners, active tip clearance control, shape memory alloys, advanced airframe concept, electric motors, advanced combustors, composites, distributed propulsion, boundary layer ingestion, computational tool, batteries and fuel cells. Each technology is aimed at improving one or more of the N+3 objectives for reducing noise emissions, NO<sub>x</sub> emissions, and fuel/energy consumption. Ashcraft et al. [9] provide a technology assessment that helps in understanding the likelihood of each of the above-mentioned technologies and whether they will be ready for implementation in

the N+3 timeframe. The said study also shows the potential benefits each technology is expected to provide in meeting N+3 goals.

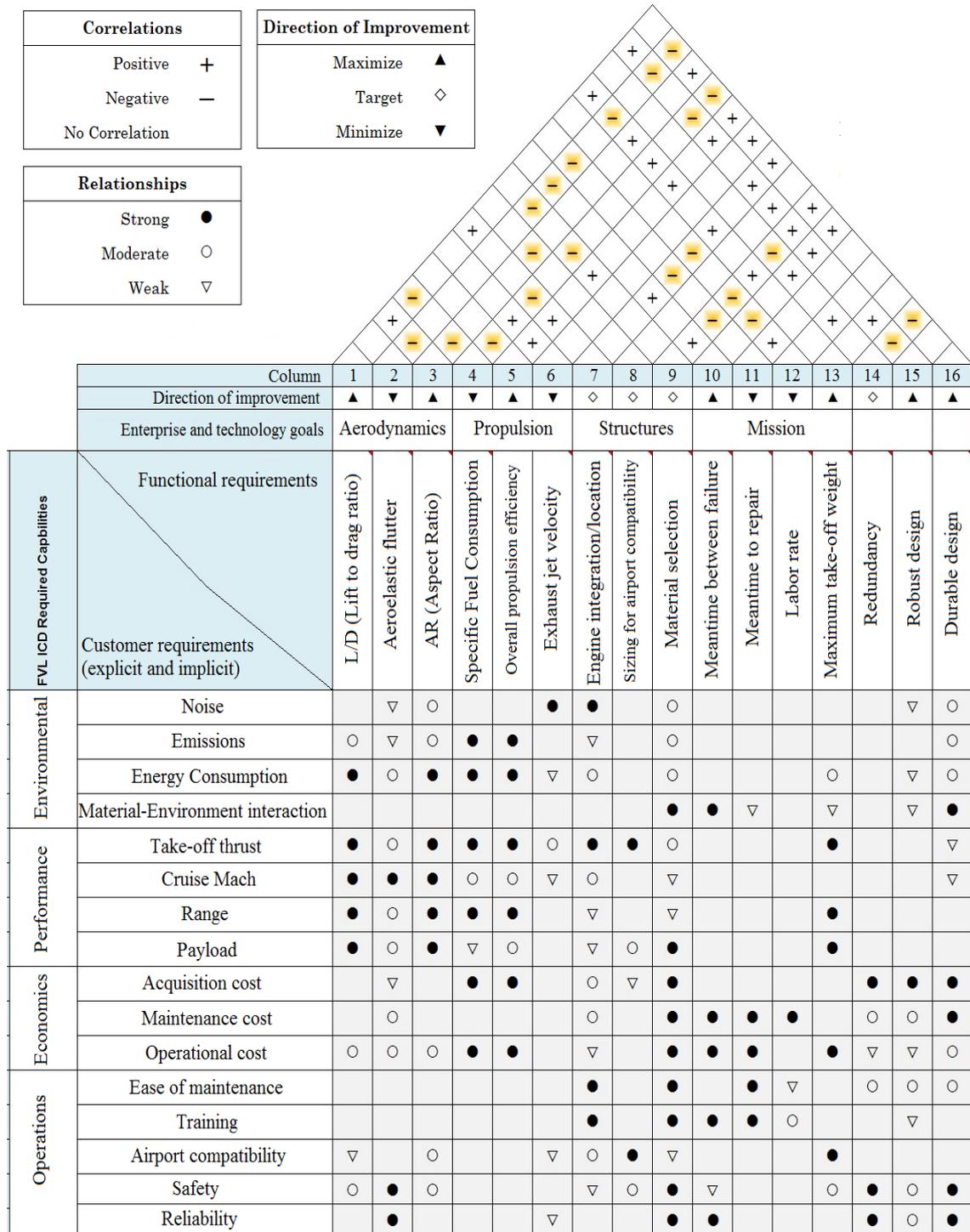


Figure 8. Relationship and correlation matrix showing the relation between the various requirements.

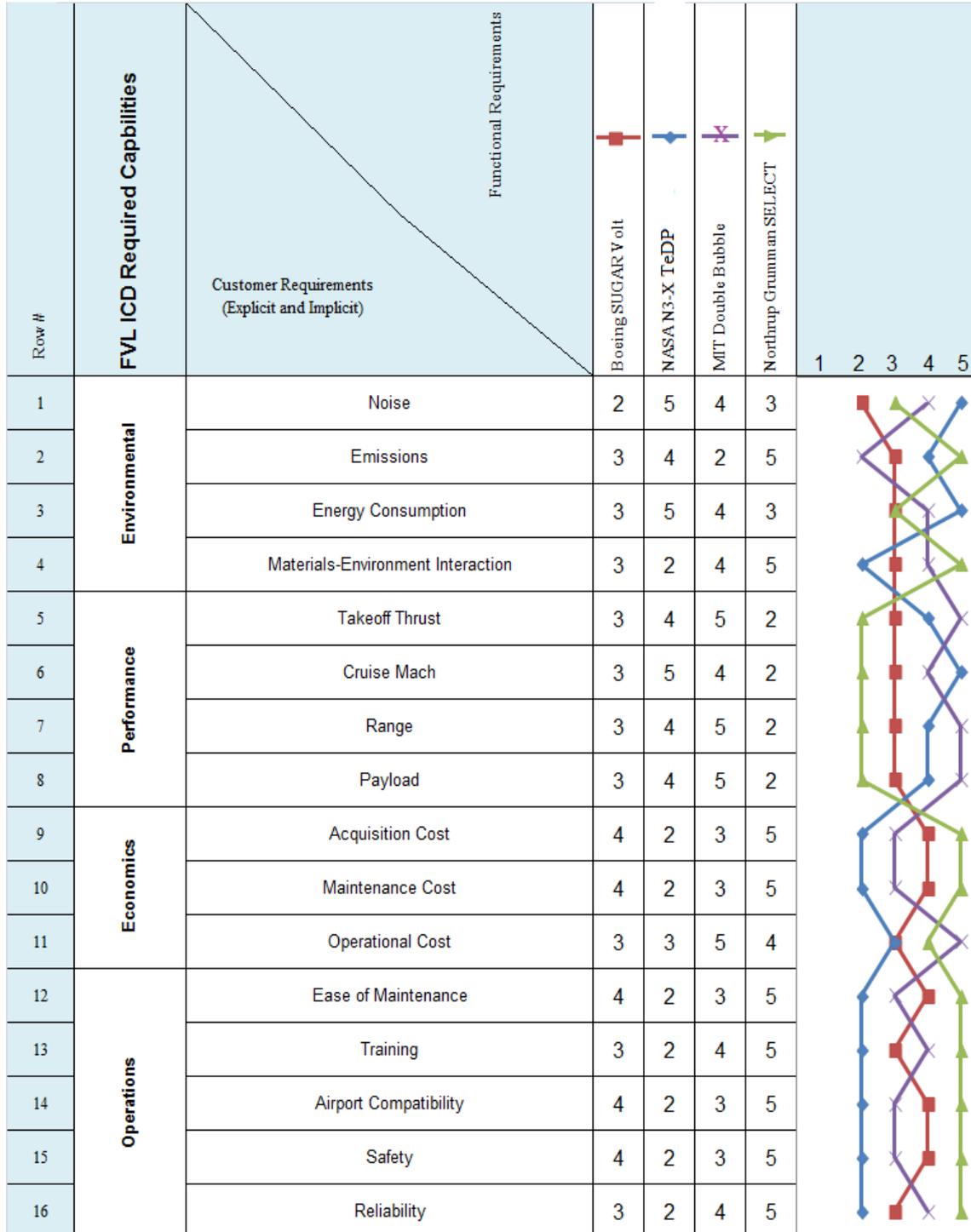


Figure 9. Customer competitive assessment showing the performance of the four concepts toward customer requirements.

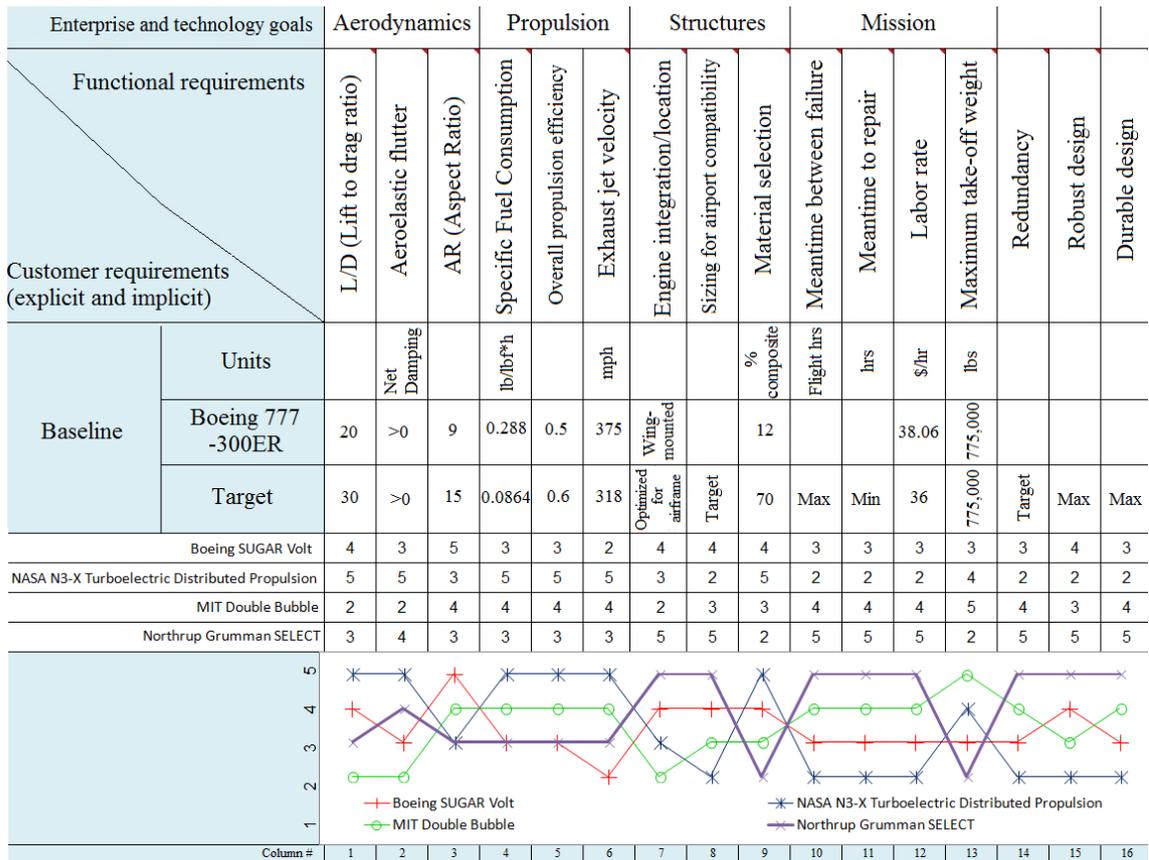


Figure 10. Technical competitive assessment and target values for the four concepts.

Overall evaluation criterion (OEC)

To establish the value, overall evaluation criterion (OEC) is used. This function is designed to combine different criteria into one single numerical index [54]. It shows the correlation between benefits and costs, and it can be used as a standardised basis for the objective comparison of design alternatives. The requirement characteristics defined in the QFD are used to formulate the OEC. The benefits consist of the environmental (En), performance (Pe) and operations (O) characteristics as they indicate the effectiveness of the system. On the other hand, the economics (Ec) represents the costs of the system. Every requirement characteristic is calculated in the first step while evaluating each criterion against a baseline value according to Table 2 and using the specific weights generated in Table 1. The desired direction of improvement is considered while determining whether the baseline value appears in the numerator or denominator. In the second step, all four values are combined to calculate the OEC for determining the benefit to cost ratio. A value equal to one suggests that the current aircraft design alternative equals the baseline aircraft. A value greater than one is therefore desired for future designs. The OEC can then be formulated as shown in Eq. (1), and it depends on variables such as Noise (N), Emissions (E), Energy Consumption (EC), Material Interaction (MI), Thrust (T), Cruise Mach (CM), Range (R), Payload (P), Ease of Maintenance (EM), Training (Tr), Airport Compatibility (AC), Safety (S), Reliability (Re), Acquisition Cost (AiCo), Maintenance Cost (MC), Operational Cost (OC), where subscript BL represents baseline (reference).

$$OEC = \frac{\frac{En}{En_{BL}} + \frac{Pe}{Pe_{BL}} + \frac{O}{O_{BL}}}{\frac{Ec}{Ec_{BL}}} \tag{1}$$

$$\frac{En}{En_{BL}} = 0.08 \frac{N_{BL}}{N} + 0.09 \frac{E_{BL}}{E} + 0.15 \frac{EC_{BL}}{EC} + 0.02 \frac{MI}{MI_{BL}} \tag{2}$$

$$\frac{Pe}{Pe_{BL}} = 0.06 \frac{T}{T_{BL}} + 0.05 \frac{CM}{CM_{BL}} + 0.07 \frac{R}{R_{BL}} + 0.1 \frac{P}{P_{BL}} \tag{3}$$

$$\frac{O}{O_{BL}} = 0.01 \frac{EM}{EM_{BL}} + 0.01 \frac{Tr_{BL}}{Tr} + 0.03 \frac{AC}{AC_{BL}} + 0.16 \frac{S}{S_{BL}} + 0.06 \frac{Re}{Re_{BL}} \tag{4}$$

$$\frac{Ec}{Ec_{BL}} = 0.03 \frac{AiCo}{AiCo_{BL}} + 0.03 \frac{MC}{MC_{BL}} + 0.05 \frac{OC}{OC_{BL}} \tag{5}$$

When using the baseline as well as the target values shown in Table 2, the OEC for the target design concept can be calculated. Inserting the values from Table 2 in Eq. (1) to (5), results in the OEC value of 21.748.

Table 2. Requirements and their respective baseline and target values to calculate the OEC.

Requirements	Element	Weight	Baseline	Target	Units
Environmental	Noise	.08	150	79	dB (takeoff at 25m)
	Emissions	.09	11	< 2.75	ppm
	Energy consumption	.15	0.288	< 0.086	lb/lbf*hr
	Materials-environment interaction	.02	1	+ 10%	-
Performance	Takeoff thrust	.06	115,300	116,000	lbs (per engine)
	Cruise speed	.05	0.84	0.8	Mach Number (M)
	Range	.07	7825	7900	Nautical Miles (NM)
	Payload	.10	151,000	155,000	lbs
Economics	Acquisition cost	.03	\$298 million	\$295 million	U.S. Dollars
	Maintenance cost	.03	\$ 3900	\$3400	U.S. Dollars/hour
	Operational cost	.05	\$17	\$ 6	U.S. Dollars/NM
Operations	Ease of maintenance	.01	1	+ 10%	-
	Training	.01	1	-15%	-
	Airport compatibility	.03	1	+2%	-
	Safety	.16	1	+1%	-
	Reliability	.06	1	+10%	-

### Generate Alternatives

A morphological matrix is a tool used for producing alternatives [55]. It helps in giving a systematic method to produce a high number of combinations/cases, which include several unique options [55]. The morphological matrix is given in Table 3. Four main vehicle characteristics including the necessary sub-characteristics are considered while making a morphological matrix for this work. Numerous possible alternatives for each of the sub-characteristic properties are listed. As discussed earlier, the four concepts SUGAR Volt (Concept 1), NASA N3-X TeDP (Concept 2), MIT Double Bubble (Concept 3) and Northrop Grumman SELECT (Concept 4) are considered as alternatives, with the baseline model Boeing 777 300 ER. The characteristics and sub-characteristics of each concept are listed against one to one basis. The alternatives are evaluated after generating them on the sub-characteristic basis in the next section. The system/physical alternatives for hybrid-electric aircraft are shown in Table 4.

Table 3. Morphological matrix for generating alternatives.

Vehicle Characteristic	Characteristic	Alternatives						Total
Airframe	Type	Tube & Wing	Hybrid Wing Body	Twin Tube & Wing	Tube & Joined Wing	Tube & Strut Braced Wing		5
	Wing Location	High	Mid	Low	Parasol			4
	Wing Support Structure	Strut Braced	Fuselage & Tail Section Supported	Cantilever	Strut Braced & Cantilever			4
	No. of Wings	1	2	3				3
	Wing Folding	Yes	No					2
	Tail Arrangement	Conventional	Pi Tail	H Tail	Twin Tail	Tailless	Cruciform	6
	Material	Aluminum	Composite	Titanium	Aluminum/Composite Hybrid	Steel		5
	Landing Gear Type	Tricycle	Wing Retractable	Fuselage Retractable	Wing Supported	Taildragger	Fixed	6
	Dihedral Angle	Dihedral	Anhedral	Neutral	Gull Wing	Inverted Gull Wing	Variable	6

Propulsion	Type	Conventional	Hybrid-Electric	All-Electric				3
	Power Generation Device	Fuel Cells	Gas Generator	Solar				3
	Thrust Generation Device	Conventional Turbofan	Geared Turbofan	Open Rotor	Electric Fan	Turbojet		5
	Power Storage Device	Batteries	Flywheel	Capacitor				3
	Power Transmission Device	Conventional Conducting Motors	Superconducting Motors					2
	Fuel	Jet-A	Bio-Fuel	Liquid Hydrogen				3
	Location	Below Wing	Above Wing	Wingtip	Tail	Wing Integrated	Tail Integrated	6
	No. of Engines	1	2	3	4			4
	RAT (Ram Air Turbine)	Yes	No					2
	APU	Conventional Turbine	Fuel Cells	Solar	Power Storage Device			4
	Noise Reduction	Airframe Shielding	Chevron Nozzles	Acoustic Liner	Increased Bypass Ratio			4
	Combustor	Reverse-Flow	Lean Direct Injection (LDI)	Lean Premixed Pre-vaporized (LPP)	Rich-Burn/Quick-Quench/Lean-Burn (RQL)			4
	Auxiliary Units	Catalytic Converters	Thrust Reversers					2
Aerodynamics	Wingtip Design	Squared Off	Rounded	Blended Winglet	Wingtip Fence	Raked	Spiroid	6
	Aspect Ratio	Low	Moderate	High				3
	Flow Control	Boundary Layer Ingestion (BLI)	Vortex Generator	Suction	Blowing	Spoilers		5
	Leading Edge	Krueger Flap	Leading Edge Droop	Slats	Slots			4
	Trailing Edge	Plain Flap	Split Flap	Slotted Flap	Fowler Flap	Multi-Slotted	Adaptive Dropped Hinge Flap	6

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	Wing Sweep	Straight	Swept Rearward	Swept Forward	Variable Sweep	Fowler Flap	4
Cabin Configuration	No. of Aisles	1	2	3	4	5	5
	No. of Floors	1	2				2

Table 4. System/Physical alternatives for hybrid-electric aircraft.

		Baseline	Concept 1	Concept 2	Concept 3	Concept 4
Airframe	Type	Tube & Wing	Tube & Strut Braced Wing	Hybrid Wing Body	Twin Tube & Wing	Tube & Wing
	Wing Location	Low	High	Mid	Low	Low
	Wing Support Structure	Cantilever	Strut Braced & Cantilever	Cantilever	Cantilever	Cantilever
	No. of Wings	1	1	1	1	1
	Wing Folding	No	Yes	Yes	No	No
	Tail Arrangement	Conventional	Conventional	Tailless	Pi Tail	Conventional
	Material	Aluminium	Aluminum/ Composite Hybrid	Aluminum/ Composite Hybrid	Aluminium/ Composite Hybrid	Aluminium/ Composite Hybrid
	Landing Gear Type	Fuselage Retractable	Fuselage Retractable	Wing Retractable	Fuselage Retractable	Fuselage Retractable
Dihedral Angle	Dihedral	Dihedral	Neutral	Dihedral	Dihedral	
Propulsion	Type	Conventional	Hybrid-Electric	Hybrid-Electric	Hybrid-Electric	Hybrid-Electric
	Power Generation Device	Gas Generator	Gas Generator	Gas Generator	Gas Generator	Gas Generator
	Thrust Generation Device	Conventional Turbofan	Geared Turbofan	Conventional Turbofan & Electric Fans	Conventional Turbofan & Electric Fan	Geared Turbofan
	Power Storage Device	None	Batteries	Batteries	Batteries	Batteries
	Power Transmission Device	None	Conventional Conducting Motors	Conventional Conducting Motors	Conventional Conducting Motors	Conventional Conducting Motors
	Fuel	Jet-A	Jet-A	Jet-A	Jet-A	Jet-A
	Location	Below Wing	Below Wing	Tail Integrated	Tail Integrated	Below Wing
	No. of Engines	2	2	1	1	2
	RAT (Ram Air Turbine)	Yes	Yes	Yes	Yes	Yes
	APU	Conventional Turbine	Conventional Turbine	Conventional Turbine	Conventional Turbine	Conventional Turbine
	Noise Reduction	Acoustic Liners	Chevron Nozzles/Acoustic Liner/Increased Bypass Ratio	Airframe Shielding & Acoustic Liner	Airframe Shielding & Acoustic Liner	Nozzles/Acoustic Liner/Increased Bypass Ratio
	Combustor	Lean Direct Injection	Lean Premixed Pre-vaporized	Lean Premixed Pre-vaporized	Lean Premixed Pre-vaporized	Lean Premixed Pre-vaporized
	Auxiliary Units	Thrust Reversers	Thrust Reversers	Thrust Reversers	Thrust Reversers	Thrust Reversers

Aerodynamics	Wingtip Design	Raked	Blended Winglet	Blended Winglet	Blended Winglet	Raked
	Aspect Ratio	High	High	Moderate	High	High
	Flow Control	Spoilers	Suction/Blowing/Spoilers	Boundary Layer Ingestion, Suction, & Spoilers	Boundary Layer Ingestion, Suction, Blowing & Spoilers	Suction, Blowing & Spoilers
	Leading Edge	Slat	Krueger Flap	Krueger Flap	Krueger Flap	Krueger Flap
	Trailing Edge	Multi-Slotted Fowler Flap	Adaptive Dropped Hinge Flap	Adaptive Dropped Hinge Flap	Adaptive Dropped Hinge Flap	Adaptive Dropped Hinge Flap
	Wing Sweep	Swept Rearward	Straight	Swept Rearward	Straight	Swept Rearward
Cabin Configuration	No. of Aisles	2	2	5	2	2
	No. of Floors	1	1	1	1	1

### Evaluation and Decision

All four concepts developed in the previous step are compared in order to find the best option. Based on the definitions made in Table 4, each concept is evaluated. The goal is to find the best concept that should be focused on future studies.

#### *Pugh matrix*

The system requirements defined in the QFD are used as evaluation criteria. Within the Pugh Matrix [56], [57] each future aircraft concept is compared against a baseline aircraft, the Boeing 777-300ER, in this case. Each concept is categorized as being better (+1), the same (0) or worse (-1) than the baseline, for each evaluation criterion. By using this method, the weights generated in Table 1 are neglected. The best concept is determined by adding up all assigned values for each column representing one concept. The alternative with the highest value is then chosen as the best alternative. Table 5 shows the respective analysis. It can be seen from Table 5 that the blended wing with hybrid-electric propulsion (concept alternative 2), is the best concept.

#### *TOPSIS*

As discussed in the previous section, while using the Pugh Matrix the weights of each criterion are neglected. Each concept is also evaluated against a baseline aircraft instead of being compared to each other. In order to improve the evaluation and decision process, a more complex method is used. TOPSIS is an analytical method for making decisions in a multi-criteria scenario [58]. It allows trade-offs and the allocated weights. This method defines the best concept as being closest to the positive ideal solution and the farthest from the negative ideal solution [59]. The result is, therefore, more trustworthy than the result of the Pugh Matrix. In the first step, each concept is mapped against the evaluation criteria. An interval scale from 1 to 9 is used as shown in Table 6 (Score value: 1 to 9). For a criterion that needs to be maximized the value 9 is the best solution while for a criterion that needs to be minimised the value 1 is the best solution. Within the next step, the weights resulting from the analysis in Table 1 are introduced into the calculation.

Furthermore, the attributed values are normalised by dividing them by the norm of the total outcome vector of the respective criterion. The results of the calculation can be seen in Table 7 (Normalised). Based on the calculated values a positive ideal solution, as well as a negative ideal solution, are generated. In this step, the direction of improvement plays an important role. The positive ideal solution is generated using the following values: (a) Criterion should be maximized (arrow upward): the highest value of all concepts; (b) Criterion should be minimized (arrow downward): the lowest value of all concepts. The same principle is used to generate a negative ideal solution. The selection process for the values is vice versa: (a) Criterion should be maximized (arrow upward): the lowest value of all concepts; (b) Criterion should be minimized (arrow downward): the highest value of all concepts.

The final and last step of the TOPSIS calculation comprises of calculating the separation of every alternative from the ideal point as well as the relative closeness. By using n-dimensional Euclidean distance, the distance of each point is calculated [60]. The separation of every concept from the positive ideal solution or negative ideal solution is then computed according to Eq. (6), where AV is the Alternative Value, (Pos/Neg) I.V is Positive/Negative Ideal Value. Also, the superscript ‘-’ denotes the distance to the negative ideal solution while the superscript ‘\*’ denotes the distance to the positive ideal solution. Based on those values, the relative closeness of every concept to the positive ideal solution can be calculated by Eq. (7).

$$S_i^{*/-} = \sqrt{\sum (AV - (Pos/Neg)I.V)^2} \tag{6}$$

$$C_i = \frac{S_i^-}{S_i^* + S_i^-} \tag{7}$$

Table 5. Pugh Matrix for the evaluation of four concepts.

Evaluation Criteria		Baseline	Concept 1	Concept 2	Concept 3	Concept 4
Environmental	Noise	Datum	0	1	1	0
	Emissions		1	1	1	1
	Energy consumption		1	1	1	1
	Materials-environment interaction		-1	-1	-1	-1
Performance	Takeoff thrust	Datum	0	1	1	0
	Cruise speed		-1	1	-1	0
	Range		1	1	0	0
	Payload		1	1	1	0
Economics	Acquisition cost	Datum	-1	-1	-1	0
	Maintenance cost		-1	-1	-1	0
	Operational cost		1	1	1	1
Operations	Ease of maintenance	Datum	0	-1	-1	0
	Training		-1	-1	-1	-1
	Airport Compatibility		1	1	-1	0
	Safety		0	-1	-1	0
	Reliability		1	0	0	1
Total			2	3	-2	2

Table 6. Evaluation of each concept for the TOPSIS approach.

Direction of Improvement	Environmental				Performance				Economics			Operations				
	↓	↓	↓	↑	↑	↑	↑	↑	↓	↓	↓	↑	↓	↑	↑	↑
Alternative	Noise	Emissions	Energy consumption	Materials-Environment Interaction	Takeoff Thrust	Cruise Mach	Range	Payload	Acquisition Cost	Maintenance Cost	Operational Cost	Ease of Maintenance	Training	Airport Compatibility	Safety	Reliability
Concept 1	3	3	3	5	5	3	7	7	7	7	3	3	7	7	9	7
Concept 2	1	1	1	3	7	7	9	9	9	9	1	1	9	7	7	5
Concept 3	1	1	1	3	7	1	5	9	7	7	1	1	9	3	7	5
Concept 4	3	3	3	5	5	5	5	5	5	5	3	3	7	5	9	7

Table 7. Evaluation of each concept for the TOPSIS approach (normalised values).

Direction of Improvement	Environmental				Performance				Economics			Operations				
	↓	↓	↓	↑	↑	↑	↑	↑	↓	↓	↓	↑	↓	↑	↑	↑
Alternative	Noise	Emissions	Energy Consumption	Materials-Environment Interaction	Takeoff Thrust	Cruise Mach	Range	Payload	Acquisition Cost	Maintenance Cost	Operational Cost	Ease of Maintenance	Training	Airport Compatibility	Safety	Reliability
Concept 1	0.0537	0.0604	0.1006	0.0121	0.0247	0.0164	0.0365	0.0456	0.0147	0.0147	0.0335	0.0067	0.0043	0.0183	0.0893	0.0345
Concept 2	0.0179	0.0201	0.0335	0.0073	0.0345	0.0382	0.0470	0.0586	0.0189	0.0189	0.0112	0.0022	0.0056	0.0183	0.0695	0.0247
Concept 3	0.0179	0.0201	0.0335	0.0073	0.0345	0.0055	0.0261	0.0586	0.0147	0.0147	0.0112	0.0022	0.0056	0.0078	0.0695	0.0247
Concept 4	0.0537	0.0604	0.1006	0.0121	0.0247	0.0273	0.0261	0.0325	0.0105	0.0105	0.0335	0.0067	0.0043	0.0131	0.0893	0.0345
Positive Ideal Solution	0.0179	0.0201	0.0335	0.0121	0.0345	0.0382	0.0470	0.0586	0.0105	0.0105	0.0112	0.0067	0.0043	0.0183	0.0893	0.0345
Negative Ideal Solution	0.0537	0.0604	0.1006	0.0073	0.0247	0.0055	0.0261	0.0325	0.0189	0.0189	0.0335	0.0022	0.0056	0.0078	0.0695	0.0247

A high value of  $C_i$  means that the respective alternative is very close to the positive ideal solution. The concept with the highest value is, therefore, the best concept. Table 8 shows the results of TOPSIS process. It can be observed that concept 2, the blended wing

concept with hybrid-electric propulsion, is again the best of all alternatives considered. This confirms the results of the ‘Pugh Matrix’ method.

Table 8. TOPSIS calculation results: Relative closeness to the positive ideal solution.

Alternative	$S_i^*$	$S_i^-$	$C_i$
Concept 1	0.0937	0.0328	0.2594
Concept 2	0.0260	0.1014	0.7958
Concept 3	0.0468	0.0933	0.6662
Concept 4	0.0962	0.0344	0.2632

### *Decision*

From the Pugh Matrix and TOPSIS, it can be observed that concept 2, the blended wing aircraft with hybrid-electric propulsion, is superior to the other three concepts considered in this work. It is, therefore, the best alternative to meet the NASA N+3 design goals.

## **CONCLUSION**

As the commercial aviation industry continues to grow, more sophisticated and revolutionary aircraft concepts will be required to meet stringent noise, emissions, and fuel/energy consumption constraints despite increased demand for air travel. With a rising concern for environmental protection, aero-propulsion engineers will be forced to look beyond technological innovations in the combustion realm towards emission-free (direct-use), electric propulsion systems. Hybrid-electric aircraft, such as the blended wing hybrid-electric concept presented in this work, can be the future of the commercial aviation industry that will allow aircraft manufacturers to achieve rigorous noise, emissions, and fuel/energy consumption goals like those established in NASA N+3. This work followed the Georgia Tech Integrated Product-Process Development (IPPD) method to conceive a commercial aircraft which can meet the rigorous N+3 goals set by NASA. The benefits of such a design process are that it allows design changes to be made early in the life of the project, thus reducing life cycle costs. The project management and planning need to be done using a Systems Engineering Management Plan (SEMP) to ensure that such a time-bound project remains on schedule and meets the target completion date, which accounts towards phase two of the project. By using the critical path method, an earliest possible entry into service date can be projected. Additionally, further development of the blended wing hybrid-electric concept would move towards research in developing the appropriate technologies that will allow the N+3 goals to be achieved. Subsequently, the conceptual, preliminary, and detailed design phases would ensue as the concept progresses towards entry into service.

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